JAN 2 8 2008 W

PTO/SB/21 (01-08)

TRANSMITTAL FORM

Application Number 09/765,291

Filing Date January 22, 2001

First Named Inventor Gray, Joe W.

Art Unit 1631

Examiner Name Marjorie A. Moran

Attorney Docket Number 023070-175400US

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 20

Total Number of Pages in This Submission				20	Attorney	y Docket Num	ber	023	07O-175400US
ENCLOSURES (Check all that apply)									
\boxtimes	Fee Trans	mittal For	m - PTO/SB/17i	ENG	Drawing(ck all that a	ipply)	After Allowance Communication to TC
	Amendme Af Af Extension Express A	in dur ee Attache nt/Reply ter Final fidavits/de of Time F	eclaration(s)		Petition Petition to Provision Power of Change of Terminal Request	g-related Paperson Convert to a nal Application of Correspond Disclaimer for Refund	ocation	SS	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1)-Request for Correction of Inventorship 2)-Statement of Inventor re Correction; 3)-Consent of Assignee to Correction; 4)-Declaration Using ADS (4 counterparts)
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Landscape Table on CD 6)-Return Postcard Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					5)-ADS 6)-Return Postcard				
			SIGNA	TURE	OF APP	LICANT, A	TTORNE	Υ, Ο	R AGENT
Firm N	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Townsend and Townsend and Crew LLP								
Signatu	Signature								
Printed	name	Jean İ	M. Lockyer, Ph.	D.	YV				
Date January 25, 2008					Reg. No.		44,879		
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
Signature Walu Wagt									
Typed	Typed or printed name Malinda C. Dagit Date 25 Jan 2008)								

ROCESSING FEE Under 37 CFR 1.17(i) TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/765,291	
Filing Date	January 22, 2001	
First Named Inventor	Gray, Joe W.	
Art Unit	1631	
Examiner Name	Marjorie A. Moran	
Attorney Docket Number	02307O-175400US	

Enclosed is a paper filed under 37 CFR <u>§1.48</u> that requires a processing fee (37 CFR 1.17(i)). Payment of \$ 130 is enclosed. This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.				
Payment of Fees (small entity amounts are NOT available for the processing fees) The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 20-1430 processing fee under 37 CFR 1.17(i) any deficiency of fees and credit of any overpayments Enclose a duplicative copy of this form for fee processing.				
Check in the amount of \$ is enclosed.				
Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.				
Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all, Except for § 1.221 papers (Fee Code 1803)				
Signature Jean M. Lockyer, Ph.D. Typed or printed name Date 44,879 Registration No., if applicable				

I hereby certify that this correspondence is being deposited with the United States Possal Carvice as a class mail in an envelope addressed to::

PATENT

Attorney Docket No.: 02307O-175400US

Commissioner for Patents Alexandria, VA 22313-1450

on 25 yaw 1008

TOWNSEND and TOWNSEND and CREWLLP

By: Malwan Wegt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gray, et al.

Application No.: 09/765,291

Filed: January 22, 2001

For: CHROMOSOME SPECIFIC STAINING TO DETECT GENETIC

REARRANGEMENTS

Customer No.: 20350

Confirmation No. 9405

Examiner:

Marjorie A. Moran

Technology Center/Art Unit: 1631

REQUEST FOR

CORRECTION OF INVENTORSHIP

UNDER 37 CFR §1.48(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the inventorship in the above-identified application be amended to include one additional inventor: Carol Westbrook. The following required documents are enclosed:

(1)-STATEMENT OF INVENTOR RE CORRECTION OF INVENTORSHIP executed by added inventor;

01/28/2008 CCHAU1

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130.00 DA

- (2)-DECLARATION FOR UTILITY APPLICATION USING AN APPLICATION DATA SHEET executed by all four inventors (in four counterparts);
 - (3)-APPLICATION DATA SHEET
 - (4)-CONSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP;

(5)-FEE TRANSMITTAL PTO/SB/17i with authorization to deduct the processing fee under 37 CFR §1.17(i) from our deposit account (in duplicate).

Respectfully submitted,

Jean M. Łockyei Reg. No. 44,879

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

JML:jml 61265814 v1



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to::

PATENT Attorney Docket No.: 02307O-175400US

UC Case no. 95-327-2

Commissioner for Patents Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joe W. Gray et al..

Application No.: 09/765,291

Filed: January 22, 2001

For: CHROMOSOME SPECIFIC STAINING TO DETECT GENETIC

REARRANGEMENTS

Examiner:

Marjorie A. Moran

Art Unit:

1631

STATEMENT OF INVENTOR RE CORRECTION OF INVENTORSHIP

UNDER 37 C.F.R. §1.48(c)

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

I state that the addition of myself as an inventor is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intention on my part.

Dated: 11-18-2007 By Carol Westbrook

61199845 v1

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to::

PATENT Attorney Docket No.: 02307O-175400US

UC Case no. 95-327-2

Commissioner for Patents Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joe W. Gray et al..

Application No.: 09/765,291

Filed: January 22, 2001

For: CHROMOSOME SPECIFIC STAINING TO DETECT GENETIC

REARRANGEMENTS

Examiner:

Marjorie A. Moran

Art Unit:

1631

CONSENT OF ASSIGNEE TO

CORRECTION OF INVENTORSHIP

UNDER 37 C.F.R. §1.48 (c)

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The Regents of the University of California, owner by assignment from inventors Joe W. Gray, Daniel Pinkel and Douglas Tkachuk, recorded in the USPTO at reel/frames 5515/0460 and 5650/0655, hereby consents to correction of the inventorship in the aboveidentified application to include inventor Carol Westbrook.

The Regents of the University of California

Dated: November 21, 2007

Name: John Shih

Title: Interim Manager Patent Prosecution

PTO/SB/01A (07-07)

Title of	CHROMOSOME SPECIFIC STAINING OF TECT GENETIC REARRANGEMENTS		
Invention	JAN 2 8 2008 W		
As the below	v named inventor(s), I/we declare that:		
This declara	tion is directed to:		
	The attached application, or		
	Application No. <u>09/765,291</u> , filed on <u>January 22, 2001</u> ,		
	as amended on (if applicable);		
I/we believe sought;	that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is		
	viewed and understand the contents of the above-identified application, including the claims, as amended by any specifically referred to above;		
material to p became ava	ledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be attentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which illable between the filing date of the prior application and the national or PCT International filing date of the -in-part application.		
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAM	E OF INVENTOR(S)		
	ne: Joe W. Gray Date: 12/5/01 '-		
Signature:	Citizen of: United States		
Inventor tw	o: Daniel Pinkel Date:		
Signature:	Citizen of: United States		
Addition	nal inventors or a legal representative are being named onadditional form(s) attached hereto.		

Attorney Docket No.: 02307O-175400US Client Ref. No.:

FULL NAME OF INVENTOR(S)	
Inventor three: Douglas Tkachuk	Date:
Signature:	Citizen of: Canada
Inventor four: Carol Westbrook	Date:
Signature:	Citizen of: United States
Inventor five:	Date:
Signature:	Citizen of:
Inventor six:	_ Date:
Signature:	Citizen of:
Inventor seven:	Date:
Signature:	Citizen of:
Inventor eight:	Date:
Signature:	Citizen of:
Inventor nine:	Date:
Signature:	Citizen of:
Inventor ten:	Date:
Signature:	Citizen of:
Inventor eleven:	Date:
Signature:	Citizen of:
Inventor twelve:	Date:
Signature:	Citizen of:

Attorney Docket No.: 023070-175400US Client Ref. No.: 95-327-2



PTO/SB/01A (07-07)

Title of Invention	CHROMOSOME SPECIFIC STAINING TO DETECT GE	NETIC REARRANGEMENTS
As the below	v named inventor(s), I/we declare that:	
This declara	tion is directed to:	
	The attached application, or	
	Application No. <u>09/765,291</u> , f	iled on <u>January 22, 2001</u> ,
	as amended on	(if applicable);
I/we believe sought;	that I/we am/are the original and first inventor(s) of the subject m	atter which is claimed and for which a patent is
	viewed and understand the contents of the above-identified applic specifically referred to above;	cation, including the claims, as amended by any
material to p became ava	ledge the duty to disclose to the United States Patent and Traden atentability as defined in 37 CFR 1.56, including for continuation lilable between the filing date of the prior application and the rin-part application.	-in-part applications, material information which
WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.		
FULL NAM	E OF INVENTOR(S)	
Inventor on	e: Joe W. Gray	Date: 12/5/07
Signature:	- Oc/2	Citizen of: United States
Inventor tw	o: Daniel Pinkel	Date: 12-20-07
Signature:	Samt Touted	Citizen of: United States
Addition	nal inventors or a legal representative are being named on	additional form(s) attached hereto.

Attorney Docket No.: 02307O-175400US Client Ref. No.:

FULL NAME OF INVENTOR(S)			
Inventor three: Douglas Tkachuk	Date:		
Signature:	Citizen of: Canada		
Inventor four: Carol Westbrook	Date:		
Signature:	Citizen of: United States		
Inventor five:	Date:		
Signature:	Citizen of:		
Inventor six:	Date:		
Signature:	Citizen of:		
Inventor seven:	Date:		
Signature:	Citizen of:		
Inventor eight:	Date:		
Signature:	Citizen of:		
Inventor nine:	Date:		
Signature:	Citizen of:		
Inventor ten:	Date:		
Signature:	Citizen of:		
Inventor eleven:	Date:		
Signature:	Citizen of:		
Inventor twelve:	Date:		
Signature:	Citizen of:		

Client Ref. No.: 95-327-2



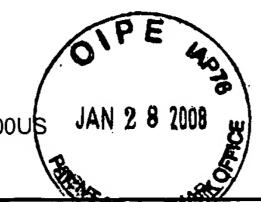
PTO/SB/01A (07-07)

Title of Invention	CHROMOSOME SPECIFIC STAINING TO DETECT G	ENETIC REARRANGEMENTS		
		·		
As the below	v named inventor(s), I/we declare that:			
This declara	tion is directed to:			
	The attached application, or			
	<u> </u>	filed on January 22, 2001,		
	as amended on	(if applicable);		
I/we believe sought;	that I/we am/are the original and first inventor(s) of the subject i	matter which is claimed and for which a patent is		
	eviewed and understand the contents of the above-identified apples specifically referred to above;	ication, including the claims, as amended by any		
material to p became ava	I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.			
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FULL NAM	E OF INVENTOR(S)			
Inventor on		Date: 12/5/07		
Signature:	- Oc / S	Citizen of: United States		
Inventor two	o: Daniel Pinkel	Date:		
Signature:		Citizen of: United States		
Addition	nal inventors or a legal representative are being named on	additional form(s) attached hereto.		

Attorney Docket No.: 02307O-175400US

Client Ref. No.:

FULL NAME OF INVENTOR(S)			
Inventor three: Signature:	Douglas Tkachuk D. H.	Date: 10 Jan 2005 Citizen of: Canada and United States	
Inventor four: Signature:	Carol Westbrook	Date: Citizen of: United States	
Inventor five: Signature:		Date: Citizen of:	
Inventor six: Signature:		Date:Citizen of:	
Inventor seven: Signature:		Date:Citizen of:	
Inventor eight: Signature:		Date:Citizen of:	
Inventor nine: Signature:		Date: Citizen of:	
Inventor ten: Signature:		Date: Citizen of:	
Inventor eleven: Signature:	•	Date: Citizen of:	
Inventor twelve: Signature:		Date: Citizen of:	



Attorney Docket No.: 02307O-175400US

Client Ref. No.: 95-327-2

Title of	CHROMOSOME SPECIFIC STAINING TO DETECT GEN	NETIC REARRANGEMENTS	
Invention			
As the below	v named inventor(s), I/we declare that:		
This declara	tion is directed to:		
	The attached application, or		
	Application No. <u>09/765,291</u> , fi	led on <u>January 22, 2001</u> ,	
	as amended on	(if applicable);	
I/we believe sought;	that I/we am/are the original and first inventor(s) of the subject ma	atter which is claimed and for which a patent is	
	viewed and understand the contents of the above-identified applications specifically referred to above;	ation, including the claims, as amended by any	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.			
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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAM	IE OF INVENTOR(S)		
Inventor or	ne: Joe W. Gray	Date:	
Signature:		Citizen of: United States	
Inventor tv	o: Daniel Pinkel	Date:	
Signature:		Citizen of: United States	
Addition	nal inventors or a legal representative are being named on	additional form(s) attached hereto.	

Attorney Docket No.: 02307O-175400US

Client Ref. No.:

FULL NAME OF INVENTOR(S)			
Inventor three: Douglas Tkachuk	Date:		
Signature:	Citizen of: Canada		
Inventor four: Carol Westbrook	Date: //-/8-07		
Signature: Carol Wattonsol	Citizen of: United States		
Inventor five:	Date:		
Signature:	Citizen of:		
Inventor six:	Date:		
Signature:	Citizen of:		
Inventor seven:	Date:		
Signature:	Citizen of:		
Inventor eight:	Date:		
Signature:	Citizen of:		
Inventor nine:	Date:		
Signature:	Citizen of:		
Inventor ten:	Date:		
Signature:	Citizen of:		
Inventor eleven:	Date:		
Signature:	Citizen of:		
Inventor twelve:	· Date:		
Signature:	Citizen of:		





Application Data Sheet

Application Information

Application number:: 09/765,291

Filing Date:: 01/22/01

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R??::

Number of CD disks::

Number of copies of CDs::

Sequence Submission::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Title:: Chromosome Specific Staining to Detect Genetic

Rearrangements

Attorney Docket Number:: 02307O-175400US

Request for Early Publication:: No

Request for Non-Publication:: No

Suggested Drawing Figure::

Total Drawing Sheets:: 12

Small Entity?:: No

Latin name::

Variety denomination name::

Petition included?::

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers One::

Secrecy Order in Parent Appl.:: No

Page 1 Initial 01/24/08

Applicant Information

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Joe

Middle Name:: W.

Family Name:: Gray

Name Suffix::

City of Residence:: Livermore

State or Province of Residence:: CA

Country of Residence:: US

Street of Mailing Address:: 1760 Lomitas

City of Mailing Address:: Livermore

State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 94550

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Daniel

Middle Name::

Family Name:: Pinkel

Name Suffix::

City of Residence:: Lafayette

State or Province of Residence:: CA

Country of Residence:: US

Street of Mailing Address:: 1098 Via Roble

City of Mailing Address:: Lafayette

State or Province of mailing address:: CA

Country of mailing address::

US

Postal or Zip Code of mailing address: 94549

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Canada and US

Status::

Full Capacity

Given Name::

Douglas

Middle Name::

Family Name::

Tkachuk

Name Suffix::

City of Residence::

Toronto

State or Province of Residence::

Ontario

Country of Residence::

Canada

Street of Mailing Address::

333 Glencairn Avenue

City of Mailing Address::

Toronto

State or Province of mailing address::

Ontario

Country of mailing address::

Canada

Postal or Zip Code of mailing address::

M5N1T8

Applicant Authority Type::

Inventor

Primary Citizenship Country::

US

Status::

Full Capacity

Given Name::

Carol

Middle Name::

Family Name::

Westbrook

Name Suffix::

City of Residence::

Goshen

State or Province of Residence::

IN

Country of Residence::

US

Street of Mailing Address:

19902 Bluff Drive

City of Mailing Address::

Goshen

State or Province of mailing address:: IN

Country of mailing address:: US

Postal or Zip Code of mailing address:: 46526

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

Domestic Priority Information

Application:: Continuity Type:: Parent Application:: Parent Filing Date::

06/07/1995 08/487,974 This application is Division of 11/16/1994 08/342,028 Continuation of 08/487,974 01/14/1994 08/181,367 Continuation of 08/342,028 04/28/1993 08/054,353 Continuation of 08/181,367 06/12/1990 07/537,305 08/054,353 Continuation of

Foreign Priority Information

Country:: Application number:: Filing Date::

Assignee Information

Assignee Name:: The Regents of the University of California

Street of mailing address:: 1111 Franklin Street, 12th Floor

City of mailing address:: Oakland

State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 94607

and

Assignee Name:: The University of Chicago

Street of mailing address:: 5801 South Ellis Avenue

City of mailing address::

Chicago

State or Province of mailing address::

Country of mailing address::

US

Postal or Zip Code of mailing address:: 60637

Initial 01/24/08